Research Compliance Activity Summary Report
Quality Improvement Office (QIO)
Fiscal Year 2020 (7/1/2019 to 6/30/2020)

Clinical Research Billing Compliance					
Area Activities					
Research Billing - Scheduled Reviews	**Q1** **(Jul-Sep)**	**Q2** **(Oct-Dec)**	**Q3** **(Jan-Mar)**	**Q4** **(Apr-Jun)**	**TOTALS**
• Number of Departments Reviewed	n/a	n/a	n/a	n/a	**0**
• Number of PI's Reviewed/Education Sessions	n/a	n/a	n/a	n/a	**0**
• Number of of Studies Reviewed	n/a	n/a	n/a	n/a	**0**
• Number of Dates of Service Reviewed	n/a	n/a	n/a	n/a	**0**

Area Training Activities	**Q1** **(Jul-Sep)**	**Q2** **(Oct-Dec)**	**Q3** **(Jan-Mar)**	**Q4** **(Apr-Jun)**	**TOTALS**
Presentations (# of events)	n/a	n/a	n/a	n/a	**0**

Human Subjects Research Quality Assurance (QA)/Quality Improvement (QI)					
Area Activities					
Research QA - Scheduled Reviews	**Q1** **(Jul-Sep)**	**Q2** **(Oct-Dec)**	**Q3** **(Jan-Mar)**	**Q4** **(Apr-Jun)**	**TOTALS**
• Number of PI's Reviewed	19	19	17	5	**60**
• Number of Studies Reviewed	19	19	19	5	**62**

Research QA - Directed Reviews	**Q1** **(Jul-Sep)**	**Q2** **(Oct-Dec)**	**Q3** **(Jan-Mar)**	**Q4** **(Apr-Jun)**	**TOTALS**
• Number of PI's Reviewed	2	0	1	0	**3**
• Number of Studies Reviewed	2	0	1	0	**3**

Area Training Activities	**Q1** **(Jul-Sep)**	**Q2** **(Oct-Dec)**	**Q3** **(Jan-Mar)**	**Q4** **(Apr-Jun)**	**TOTALS**
Presentations (# of events)	4	3	4	8	**19**
Sponsor-Investigator Meetings	3	2	2	3	**10**
External Inspections Attended	0	2	0	0	**2**

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Clinical Trials (CT.gov)				
Area Activities - Registration, Monitoring and Auditing	**Q1 (Jul-Sep)**	**Q2 (Oct-Dec)**	**Q3 (Jan-Mar)**	**Q4 (Apr-Jun)**
Total # of Records	700	726	754	761
Open Records with Late Results	9	5	6	5
Other Open Records	122	147	162	174

Area Training Activities	**Q1 (Jul-Sep)**	**Q2 (Oct-Dec)**	**Q3 (Jan-Mar)**	**Q4 (Apr-Jun)**	**TOTALS**
Presentations (# of events)	0	0	0	0	**0**
• Interactive, Computer Lab Training	0	0	0	0	**0**
• Departmental Training	0	0	0	0	**0**
• Coordinator Training	1	0	0	0	**1**